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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/632,028	07/31/2003	Andrew J. Ries	P9173.00	9663
27581	7590 06/27/2006		EXAMINER	
MEDTRONIC, INC.			ALTER, ALYSSA M	
710 MEDTRO MINNEAPOL	INIC PARK IS, MN 55432-9924		ART UNIT	PAPER NUMBER
,,,,,			3762	
			DATE MAIL ED: 06/27/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

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		Application No.	Applican	t(s)			
Office Action Comments		10/632,028	RIES ET	AL.			
	Office Action Summary	Examiner	Art Unit				
		Alyssa M. Alter	3762				
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover s	heet with the correspond	ence address			
THE - Exte after - If the - If NC - Failt Any	ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. a period for reply specified above is less than thirty (30) days, a reply of period for reply is specified above, the maximum statutory period we are to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however within the statutory minim will apply and will expire SI cause the application to b	ur, may a reply be timely filed um of thirty (30) days will be consid (6) MONTHS from the mailing day ecome ABANDONED (35 U.S.C. §	dered timely. te of this communication. § 133).			
Status							
1)⊠	Responsive to communication(s) filed on <u>05 A</u>	oril 2006.					
2a) <u></u>	This action is FINAL. 2b)⊠ This	action is non-final					
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposit	ion of Claims						
5)□ 6)⊠ 7)□	Claim(s) _1-30 is/are pending in the application 4a) Of the above claim(s) is/are withdray Claim(s) is/are allowed. Claim(s) 1-30 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and/or	vn from considerat					
Applicat	ion Papers						
10)⊠	The specification is objected to by the Examine The drawing(s) filed on 31 July 2003 is/are: a) Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Examine	☑ accepted or b)☐ drawing(s) be held ir ion is required if the	abeyance. See 37 CFR 1. drawing(s) is objected to. S	.85(a). ee 37 CFR 1.121(d).			
Priority	under 35 U.S.C. § 119						
а)	Acknowledgment is made of a claim for foreign  All b) Some * c) None of:  1. Certified copies of the priority document:  2. Certified copies of the priority document:  3. Copies of the certified copies of the priority application from the International Bureau  See the attached detailed Office action for a list	s have been receiv s have been receiv rity documents hav u (PCT Rule 17.2(a	ed. ed in Application No e been received in this N )).	·			
2) Notice 3) Infor	et(s) the of References Cited (PTO-892) the of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) ter No(s)/Mail Date	5) <u>P</u> N	terview Summary (PTO-413) aper No(s)/Mail Date otice of Informal Patent Applica ther:	ation (PTO-152)			

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#### **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on April 5, 2006 has been entered.

#### Response to Arguments

Applicant's arguments, see 9, filed April 5, 2006, with respect to the rejection(s) of claim(s) 1-30 under Lim (US 5,769,671) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Hawkins (US 5,730,628).

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

- 1. Claims 1-30 stand provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-28 of copending Application No. 10/632058 (US Patent Publication 20050027327 A1) for reasons previously made of record.
- 2. Claims 1-30 stand provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-48 of copending Application No. 10/632026 (US Patent Publication 20050027325 A1) for reasons previously made of record.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 1. Claims 1-30 are rejected under 35 U.S.C. 102(b) as being anticipated by Hawkins (US 5,730,628). Hawkins discloses a spring within an electrical connector for engaging a lead with an implantable medical device.

As seen in figure 5, "a leaf spring member 60 includes a base element 62 fixed to the first cylindrical passage adjacent the distal end 48 of the barrel 44 and a plurality of integral resilient spring elements 64 extending from the base element at a plurality of

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circumferentially spaced locations to tip ends 66 freely received in the annular recess 58 for limited transverse movement between the second cylindrical passage 52 and the annular flange 56. The leaf spring elements 64 have contact portions 68 intermediate the base element 62 and the tip ends 66 which project and are biased toward the longitudinal axis of the barrel for mating engagement with a proximal end of the electrical lead 22, specifically with the second contact 32 when inserted into the barrel from the distal end 48 and generally aligned with the longitudinal axis of the barrel. Preferably, the resilient spring elements 64 extend from the base element 62 at a plurality of equally spaced circumferential locations to maximize uniformity of contact force between the spring elements and the second contact 32 of the electrical lead 22"(col. 5, lines 33-52).

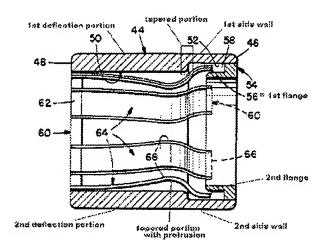
As to claims 1 and 16, the examiner considers one of the six arms to be a first and another one of the arms to be the second. The two arms are also connected at the top of the clip. Also, the first position is prior to the insertion of the spring into the housing, the second position is prior to the insertion of the lead and the third position is after the insertion of the lead.

As to claims 2-3 and 17-18, the front end that forms the connection port can be seen in figures 1 and 2, where clip 60 is located in regards to the implantable medical device and the lead. Also although the arms run parallel to the channel's central axis, since they taper towards the center axis, they "extend perpendicular" to the central axis. As to claims 6 and 21, the circular plug 54 is the seal member.

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As to claim 7-15 and 22-30, the figure to the right has been included to depict the corresponding portions of Hawkins to the components of recited claims 7-15 and 22-30. Depicted in the replication of Hawkins figure 5. In addition, the 1<sup>st</sup> and 2<sup>nd</sup> flange can also act as a tapered deflection portion.



Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alyssa M. Alter whose telephone number is (571) 272-4939. The examiner can normally be reached on M-F 9am to 4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on (571) 272-4955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Alyssa M Alter
Examiner
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